



STATE OF WEST VIRGINIA  
DEPARTMENT OF HEALTH AND HUMAN RESOURCES  
OFFICE OF INSPECTOR GENERAL

Earl Ray Tomblin  
Governor

BOARD OF REVIEW  
4190 Washington Street, West  
Charleston, West Virginia 25313

Karen L. Bowling  
Cabinet Secretary

July 22, 2015

[REDACTED]

RE: [REDACTED] v. WV DHHR  
ACTION NO.: 15-BOR-2121

Dear Ms. [REDACTED]

Enclosed is a copy of the decision resulting from the hearing held in the above-referenced matter.

In arriving at a decision, the State Hearing Officer is governed by the Public Welfare Laws of West Virginia and the rules and regulations established by the Department of Health and Human Resources. These same laws and regulations are used in all cases to assure that all persons are treated alike.

You will find attached an explanation of possible actions you may take if you disagree with the decision reached in this matter.

Sincerely,

Donna L. Toler  
State Hearing Officer  
Member, State Board of Review

Encl: Appellant's Recourse to Hearing Decision  
Form IG-BR-29

cc: Taniua Hardy, Department Representative

**WEST VIRGINIA DEPARTMENT OF HEALTH AND HUMAN RESOURCES  
BOARD OF REVIEW**

[REDACTED],

**Appellant,**

v.

**Action Number: 15-BOR-2121**

**WEST VIRGINIA DEPARTMENT OF  
HEALTH AND HUMAN RESOURCES,**

**Respondent.**

**DECISION OF STATE HEARING OFFICER**

**INTRODUCTION**

This is the decision of the State Hearing Officer resulting from a fair hearing for [REDACTED]. This hearing was held in accordance with the provisions found in Chapter 700 of the West Virginia Department of Health and Human Resources' Common Chapters Manual. This fair hearing was convened on July 22, 2015, on an appeal filed May 22, 2015.

The matter before the Hearing Officer arises from the April 29, 2015 decision by the Respondent to deny the Appellant's eligibility for the Intellectual Disabilities and Developmental Disabilities (I/DD) Waiver Program.

At the hearing, the Respondent appeared by [REDACTED], [REDACTED] The Appellant was not present but was represented by her mother and guardian, [REDACTED]. All witnesses were sworn and the following documents were admitted into evidence.

\*Present but not participating in the hearing was [REDACTED], Hearings Coordinator for [REDACTED]. Ms. [REDACTED] was present to take notes for Ms. [REDACTED]. The Appellant's representative had no objections to her presence.

**Department's Exhibits:**

- |     |  |
|-----|--|
| D-1 | Bureau for Medical Services Provider Manual, Chapter 513: I/DD Waiver Services, §513.3 |
| D-2 | Correspondence from the Department to the Appellant, dated April 29, 2015              |
| D-3 | Independent Psychological Evaluation (IPE), evaluation date April 22, 2015             |

D-4 [REDACTED] Neuroscience Clinical Summary, dated April 9, 2012  
D-5 [REDACTED] Schools Individualized Education Program (IEP), dated  
April 8, 2015

**Appellant's Exhibits:**

None

After a review of the record, including testimony, exhibits, and stipulations admitted into evidence at the hearing, and after assessing the credibility of all witnesses and weighing the evidence in consideration of the same, the Hearing Officer sets forth the following Findings of Fact.

**FINDINGS OF FACT**

- 1) The Appellant applied for I/DD Waiver Program services and the Respondent issued an April 29, 2015 notice to the Appellant denying the application. The reason for denial was "Documentation submitted does not support the presence of substantial adaptive deficits in three or more of the six major life areas identified for Waiver eligibility." (Exhibit D-2)
- 2) The Appellant established the presence of substantial adaptive deficits in two major life areas identified for program eligibility: Self-Care and Learning. (Exhibit D-2)
- 3) [REDACTED] (Ms. [REDACTED] representative for the Respondent, testified that she is a licensed psychologist employed by [REDACTED]. [REDACTED], a firm contracted by the Respondent to make eligibility determinations for the I/DD Waiver Program. Ms. [REDACTED] made the eligibility determination regarding the Appellant, and based her information on the Appellant's Independent Psychological Evaluation (IPE) and Individualized Education Program (IEP). (Exhibits D-3 and D-5).
- 4) The Appellant's IPE (Exhibit D-3) includes the results of the Adaptive Behavior Assessment System – Second Edition (ABAS-II). The ABAS-II is a test instrument used to "assess cognitive and developmental disabilities with adaptive behavior rating scales." Standard scores on this instrument have a mean of ten (10) and a standard deviation of three (3). For the purpose of establishing "substantial deficits," a standard score of one (1) is three (3) standard deviations below the mean. A standard score of two (2) includes results from the sample that are "less than one percentile." The Appellant received standard scores of one (1) or two (2) on the ABAS-II in the skill areas of Functional Academics and Self-Care. The skill areas of Community Use, Home Living and Social correspond with the subdomains of the major life area of the Capacity for Independent Living. The skill area of Functional Academics corresponds with the major life area of Learning.
- 5) The Appellant's mother, [REDACTED] (Ms. [REDACTED] expressed concern regarding the future welfare of her daughter in the event something would happen to her and she

would no longer be able to care for her child. Ms. █ has no parents or family she can rely upon to provide care for the Appellant.

## **APPLICABLE POLICY**

The policy regarding the functionality component of medical eligibility for the I/DD Waiver Program is located in Bureau for Medical Services Provider Manual, Chapter 513: I/DD Waiver Services, at §513.3.2.2. This policy reads as follows:

### **513.3.2.2 Functionality**

The applicant must have substantial deficits in at least 3 of the 6 identified major life areas listed below:

- Self-care;
- Receptive or expressive language (communication);
- Learning (functional academics);
- Mobility;
- Self-direction; and,
- Capacity for independent living which includes the following 6 sub-domains: home living, social skills, employment, health and safety, community and leisure activities. At a minimum, 3 of these sub-domains must be substantially limited to meet the criteria in this major life area.

Substantial deficits are defined as standardized scores of 3 standard deviations below the mean or less than one percentile when derived from a normative sample that represents the general population of the United States, or the average range or equal to or below the 75 percentile when derived from MR normative populations when mental retardation has been diagnosed and the scores are derived from a standardized measure of adaptive behavior. The scores submitted must be obtained from using an appropriate standardized test for measuring adaptive behavior that is administered and scored by an individual properly trained and credentialed to administer the test. The presence of substantial deficits must be supported not only by the relevant test scores, but also the narrative descriptions contained in the documentation submitted for review, i.e., psychological report, the IEP, Occupational Therapy evaluation, etc. if requested by the IP for review.

## **DISCUSSION**

The Respondent denied the Appellant's application for the I/DD Waiver Program based on unmet medical eligibility. The unmet medical eligibility component noted on the denial notice was functionality. The policy regarding functionality relies on the concept of "substantial deficits," and defines this concept strictly in terms of test scores "derived from a standardized measure of adaptive behavior," and the Appellant did not establish eligibility on this basis.

Narrative descriptions in evidence or testimony may not substitute for lacking eligible test scores; they can only support existing eligible results.

Ms. [REDACTED] provided testimony that her daughter requires constant supervision due to seizures, the inability to distinguish hot from cold and the need for constant reminding on what tasks she needs to engage in or complete. Ms. [REDACTED] provided emotional testimony regarding her concern for her daughter's safety and future well-being in the event of her passing. While Ms. [REDACTED] concerns for the future of her daughter are understandable, the testimony and evidence presented on the Appellant's behalf failed to establish that the validity of the test scores obtained on the ABAS-II were inaccurate. In fact, the information used to assess the Appellant was provided by the Appellant's mother when the assessment was conducted.

The decision of the Respondent to deny the Appellant's application for the I/DD Waiver Program was correct.

### **CONCLUSIONS OF LAW**

- 1) Policy for the I/DD Waiver Program requires the demonstration of substantial deficits in at least three major life areas (also identified by policy). Because the Appellant only demonstrated substantial deficits two major life areas, the functionality component could not be established.
- 2) Because the functionality component could not be established, medical eligibility for the program could not be established and the Appellant's application must be denied.

### **DECISION**

It is the decision of the State Hearing Officer to **uphold** Respondent's denial of the Appellant's application for the I/DD Waiver Program.

ENTERED this \_\_\_\_ Day of July 2015.

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**Donna L. Toler  
State Hearing Officer**